Please type a plus sign (+) inside this box

PTO/SB/08B (10-96)
Approve for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE bond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no parsons are required to

Cubatitu	te for form 1449B/PT		Complete if Known				
		RADEMA	Application Numb r	09/648.894			
INFO	ORMATIO	N DISCLOSURE	Filing Date	Aug. 25, 2000			
SΤΔ	TEMENT	BY APPLICANT	First Nam d Invent r	Katsikas			
317		BI AII LIGAIII	Group Art Unit	2131 RECEIVE			
	(use as many	sheets as necessary)	Examiner Name	ILCEIVE			
Sheet	1	of	Attorney Docket Number	PKAY-P1 DEC 0.4			

Examiner Initials*	Cite No. ¹	OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS Technology Cern Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
BIA		Bounce Spam Mail, from Albert Yale Software, dated 1997-2000.	
ВН		CSM Internet Mail Scanner, from CSM-USA, Inc., dated 1999.	
ВН		CyberSitter AntiSpam, from CyberSitter.com, distributed by Solid Oak Software, circa 1999-2000.	1
BN		DL MailFilter, from DeadLetter and Leem Han Cheong, dated Nov. 99.	
BH		E-Mail Chompaer, from Lorenzo Pasqualis, dated 1996-97	
ВН		E-Mail Remover, from Victor Javier, Virtual Network, In Singapore, dated March - July 1998, and 1999.	nc.
ВН		FlameThrower, from Eagle Research, Inc., dated 2000.	
BH		Interceptor, from Grok Development Ltd., dated 1999-2000.	
BN		JOC E-Mail Checker, from JOCSoft and Jose Olive Civit, dated 2000.	
BH		Lyris MailShield, from Lyris, undated.	
вН		Quickhead-E, from Danere Software Innovations, dated March 2000.	

Signature Considered Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box

Sheet

of

2

PTO/SB/08B (10-96)
Approved for use through 10/31/99. OMB 0651-0031
ent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PKAY-P1

Under the Pape	erwork Reduction Act of 1995, no	persons are required to res	pend to a collection of informatio	n unless it contains a valid OMB control number.
	tute for form 1449B/PTO	TRADEMAR		mplete if Known
			Application Number	09/648.894
INF	ORMATION DIS	CLOSURE	Filing Dat	Aug. 25, 2000
I ST	ATEMENT BY A	PPI ICANT	First Named Inventor	Katsikas
31/	AILMENT DIA	LIOMIT	Group Art Unit	2131
i.	(use as many sheets as	s necessary)	Examiner Name	

Attorney Docket Number

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, joumal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
BN		Spam Attack Pro, circa 1996-97, from softwiz.com					
BH		Spam Buster, from Contact Plus Corp., dated 2000.					
લક		SpamEater, from High Mountain Software, dated 1997-2000	_				
ВЦ		SpamKiller, from NovaSoft, dated 1997-2000.					
BN		BrightMail, from BrightMail, Inc., dated 2000.					
BN		Praetor, from Computer Mail Services, Inc., circa 1998- 99.					
		RECEIVED					
		DEC 0 4 2000					
·		Technology Center 2100					
-							
			<u> </u>				

Examiner Branka Haff.	Date Considered	12-19-03

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Sheet

PTO/SB/08B (10-96) use through 10/31/99. OMB 0651-0031 CE: U.S. DEPARTMENT OF COMMERCE Patent and Trademark

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

C mpl te if Known **Application Number** 09/648.894 Filing Date Aug. 25, 2000 First Named Invent r <u>Katsikas</u> 2131 Group Art Unit

(use as many sheets as necessary) of

1

Examiner Name PKAY-P1 Attorney Docket Number

Crinology Center 2100

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T2 item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Examiner Cite publisher, city and/or country where published. Initials' "MsgTo.com Stops Spam Email", web page circa Nov. 19, BA 1999, from www.applesforhealth.com "The Species Filter", by Rafe Needleman, ed., dated AS Aug. 6, 1999, from www.RedHerring.com

Branda Hoffe Date 12-19-03 Examiner Considered Signature

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

		sign (+) inside this bo	4	FIPE	Appr Patent and Tradema spond to a collection of information		+
		te for form 1449A/PT		AU6 2 0 2001		mplete if Known	FY
ı			1.	i iii	Application Number	09/648-894	`
1				SCLOSURE/	Filing Date	25 August 2000	
	STA	TEMENT B	3Y)	RPLICANT	First Named Invent r	Katsikas	
ļ					Group Art Unit	2131 (per filing rcpt)
		(use as many she	ets a	s necessary)	Examiner Name		•
t	Sheet		of	4	Attorney Docket Number	DVAV D1	

PKAY-P1

Sheet

					U.S. PATENT	DOCU	MENTS	·
T		U.S. Pate	nt Docun	nent			Date of Publication of	Pages, Columns, Lines, Where Relevant
Examiner Initials*	Cite No.1	Number	Kind (<i>if kn</i>	Code² own)	Name of Patentee or Appli of Cited Document	cant	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
BA		5,931	,905	-	Hashimoto et	al.	08/03/99	
			_				<u> </u>	RECE!
								AUG 2 3
								Technology Center 2100
		 -			<u> </u>			OUT OIOGN C
								Toolier 2700
							 	

				FORE	IGN PATENT DOCUMENT	rs		
Evenine	Cite	F	oreign Patent Do	ocument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	No.1	Office ³	Number4	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T6
		 						
		 						
		1 1		1 1				
							·- <u>-</u>	<u> </u>
								—
								igspace
						<u> </u>		

Examiner		Date
LXaiiiiici	D 1 01 11	0id-mod [d-19-47
Signature	Branka Wife	Considered 12-19-03
Olgitatalo		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (MPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under MPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PIE	type a	plus	sign	(+)	inside	this	box
LICENSE	type a	Pius	~·g	· · ·			

PTO/SB/08B (10-96) Ap. and for use through 10/31/99. OMB 0651-0031
Patent and Traderia. V Office: U.S. DEPARTMENT OF COMMERCE

e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

Sheet

Examiner

Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of 1

Complete if Known					
Applicati n Number	09/648.894				
Filing Dat	08/25/00				
First Named Invent r	Katsikis				
Group Art Unit	2131				
Examiner Nam					
Attorney Docket Number	PKAY-P1				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
вн		Official September 1999 AUP (Auto Update Program) v5.0 Build 447, Product Update Release, winserver.com	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

12-19-03

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.